



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/010,947	11/06/2001	Mark Haines	40655.7600

CONFIRMATION NO. 5619

## FORMALITIES LETTER



\*OC000000008298360\*

20322  
SNELL & WILMER  
ONE ARIZONA CENTER  
400 EAST VAN BUREN  
PHOENIX, AZ 850040001

Date Mailed: 06/17/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/23/2002 ADDRESS1 00000073 192814 10010947

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 740.00 CH  
02 FC:1201 168.00 CH  
03 FC:1202 36.00 CH  
04 FC:1051 130.00 CH

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of **\$204** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1074** for a Large Entity

- **\$740** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$204**
  - **\$36** for 2 total claims over 20.
  - **\$168** for 2 independent claims over 3.

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*A copy of this notice **MUST** be returned with the reply.*

*aka*  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#5

**CERTIFICATE OF MAILING**

I certify that this document and fee is being deposited with the U.S. Postal Service as First Class Mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: October 17, 2002

  
Beverly Fletcher

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

PATENT

Applicant(s): **Haines et al.**

Atty. Docket No.: **40655.7600**

Serial No.: **10/010,947**; Confirmation No.: **5619**

Group Art Unit: **2162**

Filed: **November 6, 2001**

Examiner: **TBA**

TITLE: **SYSTEM AND METHOD FOR NETWORKED LOYALTY PROGRAM**

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION  
FILING DATE GRANTED**

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Dear Sir:

This responds to the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted mailed on June 17, 2002, for which a 2-month extension for reply is hereby requested.

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted (**REQUIRED**);
- Recordation Form Cover Sheets and Assignments (2); \$ 80.00
- Declaration;
- Basic Filing Fee; 740.00
- Extra Claims Fees; 204.00
- Surcharge for late filed Declaration; and 130.00
- Extension for reply within second month. 400.00

Total completion of application fees \$1,554.00

Total fees due \$1,554.00

10/23/2002 HDEHES1 00000073 192814 10010947

05 FC:1252 400.00 CH



Thomas J. Finn (602) 382-6208  
Patents, Trademarks & Copyrights  
tfinn@swlaw.com

October 17, 2002

PHOENIX, ARIZONA  
TUCSON, ARIZONA  
IRVINE, CALIFORNIA  
SALT LAKE CITY, UTAH  
DENVER, COLORADO

**VIA FIRST CLASS MAIL**

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, DC 20231

**Re: US Nonprovisional Patent Application, Haines, et al.**  
**Title: SYSTEM AND METHOD FOR**  
**NETWORKED LOYALTY PROGRAM**  
**U.S. Serial No.: 10/010,947; Confirmation No.: 5619**  
**Filing Date: November 6, 2001**  
**Our Ref. No.: 40655.7600**

Dear Sir or Madam:

Enclosed are the following documents:

1. Response to Notice to File Missing Parts and Notice to File Missing Parts;
2. Fee Transmittal for FY 2002;
3. Return Receipt Postcard (postage prepaid);
4. Recordation Form Cover Sheets and Assignments (2); and
5. Declaration for Patent Application.

Please process the above documents. Thank you.

Sincerely,

Snell & Wilmer

Thomas J. Finn

TJF:bf  
Enclosures  
cc: Ms. Patrice Jacobson (AMEX)

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

\$1,554.00

## Complete if Known

Application Number 10/010,947

Filing Date November 6, 2001

First Named Inventor Mark Haines

Examiner Name TBA

Group Art Unit 2162

Attorney Docket No. 40655.7600

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

19-2814

Deposit  
Account  
Name

Snell & Wilmer L.L.P.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					\$740.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND

Total Claims		Extra Claims		Fee from below		Fee Paid
22	-20** =	2	X	18.00	=	36.00
5	-3** =	2	X	84.00	=	168.00
Multiple Dependent						

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$204.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	400.00
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	80.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$610.00

## SUBMITTED BY

Name (Print/Type)

Thomas J. Finn

Registration No.  
(Attorney/Agent)

48,066

## Complete (if applicable)

Telephone

602/382-6208

Signature

*Thomas J. Finn*

Date

October 17, 2002

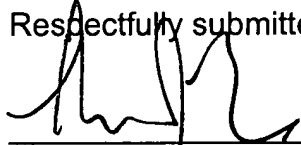
**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

The fee of \$1,554.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- X The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **19-2814**.  
A duplicate copy of this sheet is enclosed.
- X If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **19-2814**.  
**A duplicate copy of this sheet is enclosed.**

Respectfully submitted,



Dated: October 17, 2002

Thomas J. Finn, Esq.  
Reg. No. 48,066

**SNELL & WILMER L.L.P.**  
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400 E. Van Buren  
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